

# MODEL OF THERMOELECTRIC MATERIAL COMPOSED OF NANOPARTICLES WITH QUANTUM-POINT CONTACTS

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## Abstract

The model of thermoelectric material composed of nanoparticles with point contacts was considered. Theoretical estimation of the material basic characteristics was made. Calculations showed that the thermoelectric figure of merit  $ZT$  of nanocomposite material could exceed  $ZT$  of the same bulk thermoelectric material at definite conditions. It can be explained by the increase of total electric conductivity of material thanks to tunneling electrons through area adjacent to point contact and by conservation of thermopower and thermal conductivity of point contact. Results of calculations are discussed.

## Introduction

The quantum-point contact (QPC) may play a central role in development of high efficiency thermoelectric materials. Possibility of increasing the thermoelectric figure of merit  $ZT = T \cdot \alpha^2 \cdot \sigma / (\kappa_{el} + \kappa_{ph})$ , where  $\alpha$  - the Seebeck coefficient,  $\sigma$  - the electrical conductivity, and  $\kappa_{el}$  and  $\kappa_{ph}$  - the electron and phonon thermal conductivities, is connected with increasing of the effective area contact for electrons thanks to tunneling electrons through vacuum gap surrounding the physical area of QPC [1]. In this paper we proposed the simple model for calculations of characteristics of material composed of spherical nanoparticles with point contacts.

## Model of material

The model of material is presented on Fig. 1 a).

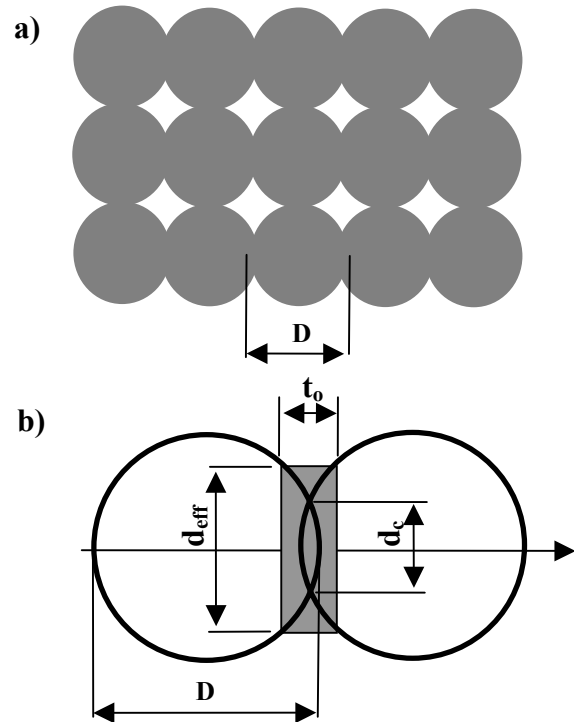


Fig. 1. a) Model of thermoelectric material composed of spherical nanoparticles (balls) and point contacts. b) Unit cell of material consisted of semiconductor ball and QPC.

Material can be regarded as the regular structure composed of spherical semiconductor particles (balls) with quantum-point contacts. Ball diameter and physical diameter of QPC are denoted as  $D$  and  $d_c$  respectively. These values lay in range of 1-300 nm. So as  $d_c < D \ll \lambda_e$ , where  $\lambda_e$  – the mean free path of electrons, we can regard the material as combination of long one-dimension nanowires (1D nanowires) with variable diameter from  $d_c$  to  $D$  in direction of electric current flow. Also we can divide each nanowire into a number of unit cells consisted of one ball

and one QPC (dashed area on Fig. 2 b)) connected in series. Calculations can be performed only for one unit cell. It is justified by the next reasons: the probability of electron propagation through QPC is very small and most electrons inside each ball are in thermal equilibrium. Propagation of electrons through QPC can be described in ballistic regime. Electric conductance of one ball is proportional to quantum conductance  $2q^2/h$ . In calculations of electron transport through QPD we must use effective diameter of contact  $d_{eff} > d_c$  thanks to tunneling electrons through vacuum gap surrounding the physical point contact. The value of  $d_{eff}$  may be found from Fig. 1 b), where  $t_0 \approx 0.6-0.7$  nm is maximal distance that electron can pass by tunneling with the probability more than 0.95 [1].

## General equations

We conduct calculations of 1D semiconductor unit cell in ballistic regime ( $\lambda_e \gg D$ ) like to Landauer-Buttiker formalism for metal nanowires [2].

Sharvin resistance of ball in ballistic regime is presented equation (1) [3], where the second term is the number of traverse modes of electron propagation in 1D structure:

$$R_s = \left[ \frac{2 \cdot q^2}{hp} \cdot \frac{ke^2 \cdot \left( \frac{\pi}{4} \cdot D^2 \right)}{4 \cdot \pi} \right]^{-1} = \left( \frac{\pi^2 \cdot m \cdot E \cdot D^2}{2 \cdot hp^2} \right)^{-1}$$

where  $hp$  – Plank constant,  $q$  – electron charge,  $ke$  – electron wave vector and  $E$  – electron energy.

Number of channels in 1D structure can be expressed by staircase function of  $N_{ch}(D)$  at mean electron energy  $E = K_b \cdot T$ , where  $K_b$  – Boltzmann constant and  $T$  – absolute temperature:

$$N_{ch}(D) := \text{floor} \left( \frac{1}{2} \cdot \frac{\pi^2}{hp^2} \cdot m \cdot K_b \cdot T \cdot D^2 \right)$$

Expressions for electric current and energy flux through 1D structure can be presented as:

$$I = \int_0^\infty q \cdot v_e \cdot (f_l(E) - f_r(E)) \cdot g(E) \cdot N_{ch}(D) \cdot T(E) dE$$

$$Q = \int_0^\infty v_e \cdot E \cdot (f_l(E) - f_r(E)) \cdot g(E) \cdot N_{ch}(D) \cdot T(E) dE$$

where  $v_e(E) = (2E/m)^{1/2}$  – electron group velocity,  $g(E) = (m/2E)^{1/2}/hp$  – density of states,  $T(E)$  – coefficient of electron transmission,  $f_l(E)$  and  $f_r(E)$  – Fermi-Dirac function on the left and right sides from QPC respectively at different values of temperature and potential:

$$f_l(E) = \frac{1}{e^{(E-E_f)/K_b T} + 1}, \quad f_r(E) = \frac{1}{e^{(E-E_f-q\Delta V)/K_b(T+\Delta T)} + 1}$$

In linear response regime electric conductance, heat conductance connected with electron flow and the thermopower coefficient (the Seebeck coefficient) in confined structure are determined by the next equations:

$$\begin{aligned} \sigma(D, \eta) &= \frac{2 \cdot q^2}{hp} \cdot N_{ch}(D) \cdot M_0(\eta) \\ \kappa_e(D, \eta) &= \frac{2 \cdot K_b^2 \cdot T \cdot N_{ch}(D)}{hp} \cdot \left( M_2(\eta) - \frac{M_1(\eta)^2}{M_0(\eta)} \right) \\ \alpha(\eta) &= \frac{K_b}{q} \cdot \left( \frac{M_1(\eta)}{M_0(\eta)} - \eta \right) \end{aligned}$$

where  $x = E/K_b \cdot T$  and  $\eta = E_f/K_b \cdot T$  – dimensionless electron energy and Fermi energy respectively

$$M_0(\eta) = \int_0^\infty \frac{T(x) \cdot \exp(x - \eta)}{(\exp(x - \eta) + 1)^2} dx$$

$$M_1(\eta) = \int_0^\infty \frac{T(x) \cdot x \cdot \exp(x - \eta)}{(\exp(x - \eta) + 1)^2} dx$$

$$M_2(\eta) = \int_0^\infty \frac{T(x) \cdot x^2 \cdot \exp(x - \eta)}{(\exp(x - \eta) + 1)^2} dx$$

## Ball characteristics

Ball characteristics  $\sigma_b(D, \eta)$ ,  $\kappa_{eb}(D, \eta)$  and  $\alpha_b(\eta)$  were calculated with help of the above equations at  $T(E) = 1$ .

Full ball heat conductance is equal to sum of electron and phonon heat

conductance:

$\kappa_b(D, \eta) = \kappa_{eb}(D, \eta) + \kappa_{ph\_b}(D)$ ,  
where  $\kappa_{ph\_b}$  as function of  $D$  and mean free path of phonons  $\lambda_{ph}$  that can be presented as in [4]:

$$\kappa_{ph\_b}(D) = \frac{\kappa_o \cdot D}{\left(1 + \frac{\lambda_{ph}}{D}\right)},$$

where  $\kappa_o$  – heat conductivity of bulk semiconductor material.

### QPC characteristics

Effective diameter of QPC  $d_{eff}$  by simple geometrical reasoning (Fig. 2) is equal to:

$$d_{eff}(dc, D) = \sqrt{dc^2 + 2 \cdot t_o \cdot \sqrt{D^2 - dc^2} - t_o^2}.$$

Coefficient of electron transmission  $T(E)$  for plane electron wave falling on point contact of diameter  $d_{eff}$  can be derived by transformation of formula for Fraunhofer diffraction of light on round aperture. We must replace wave vector of light  $k_{photon}$  on wave vector of electron  $k_e = 2 \cdot \pi \cdot (2mE)^{1/2} / h_p$  in Fraunhofer formula and complete integration on solid angle in all space behind the point contact. For  $T_c(E)$  we have:

$$T_c(dc, D, x) = 2 \left( \frac{d_{eff}}{D} \right)^2 \cdot \int_0^{\pi} \frac{J_1 \left[ \frac{d_{eff}}{h_p} \cdot \sqrt{\frac{m}{2}} \cdot (KbT) \cdot x \cdot \sin(\theta) \right]^2}{\sin(\theta)} d\theta \quad (12)$$

QPC characteristics  $\sigma_c(D, \eta)$ ,  $\kappa_c(D, \eta)$  and  $\alpha_c(\eta)$  were calculated with help of equations.

Full QPC heat conductance is equal to sum of electron and phonon heat conductance:

$$\kappa_c(dc, D, \eta) = \kappa_{ec}(dc, D, \eta) + \kappa_{ph\_c}(dc)$$

where

$$\kappa_{ph\_c}(dc) = \frac{\kappa_o}{\left( \gamma \left( \frac{\lambda_{ph}}{dc} \right) \cdot \frac{1}{dc} + \frac{16 \cdot \lambda_{ph}}{3 \cdot \pi \cdot dc^2} \right)},$$

where  $\gamma(\lambda_{ph}/dc)$  is a slowly varying function with  $\gamma(0) = 1$  and  $\gamma(\infty) = 0.694$ . Simple expression for  $\kappa_{ph\_c}(dc)$  was confirmed by molecular dynamic simulation of thermal transport at a nanometer scale constriction [5].

### Characteristics of unit cell

Characteristic of unit cell can be simply derived by adding of separate inverse values for circuit of ball and QPC connected in series:

$$\sigma_u(dc, D, \eta) = \left( \frac{1}{\sigma_c(dc, D, \eta)} + \frac{1}{\sigma_b(D, \eta)} \right)^{-1},$$

$$\kappa_u(dc, D, \eta) = \left( \frac{1}{\kappa_c(dc, D, \eta)} + \frac{1}{\kappa_b(D, \eta)} \right)^{-1},$$

$$\alpha_u(dc, D, \eta) = \frac{\alpha_c(dc, D, \eta) \cdot \kappa_b(D, \eta) + \alpha_b(\eta) \cdot \kappa_c(dc, D, \eta)}{\kappa_b(D, \eta) + \kappa_c(dc, D, \eta)}$$

### Characteristics of material composed of balls with QPC

Bulk material characteristics (electric conductivity  $\sigma(dc, D, \eta)$ , thermal conductivity  $\kappa(dc, D, \eta)$ , thermopower  $\alpha(dc, D, \eta)$  and figure of merit  $Z(dc, D, \eta) \cdot T$ ) are presented by the next equations:

$$\sigma(dc, D, \eta) = \frac{\sigma_u(dc, D, \eta)}{\sqrt{D^2 - dc^2}},$$

$$\kappa(dc, D, \eta) = \frac{\kappa_u(dc, D, \eta)}{\sqrt{D^2 - dc^2}},$$

$$\alpha(dc, D, \eta) = \frac{\alpha_c(dc, D, \eta) \cdot \kappa_b(D, \eta) + \alpha_b(\eta) \cdot \kappa_c(dc, D, \eta)}{\kappa_b(D, \eta) + \kappa_c(dc, D, \eta)},$$

$$Z(dc, D, \eta) \cdot T = T \cdot \frac{\sigma(dc, D, \eta) \cdot \alpha(dc, D, \eta)^2}{\kappa(dc, D, \eta)}$$

For obtaining  $\sigma(dc, D, \eta)$  and  $\kappa(dc, D, \eta)$  we sum up unit cells in parallel and in series with period  $(D^2 - dc^2)^{1/2}$ .

### Results of calculations

We carried out calculations of basic thermoelectric characteristics in the following assumptions: effective electron mass  $m = 0.5m_o$ ,  $T = 300$  K and  $\lambda_{ph} = 10$  nm.

The calculated results is valid for 1D structures when its characteristic dimensions lay in the nanometer range

(diameter of spherical particles  $D = 10 - 300$  nm and diameter of point contact  $d_c = (0 - 0.5) \cdot D$ ).

The results of calculations are presented on Fig. 2-6.

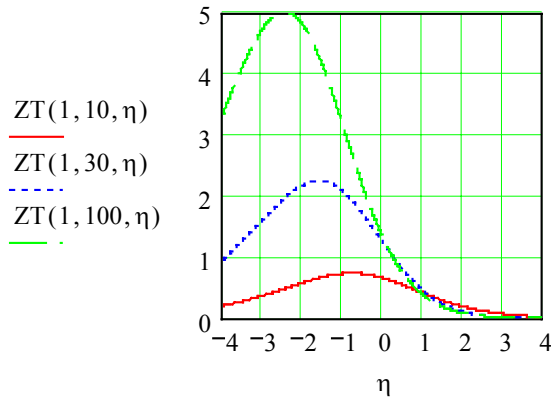


Fig. 2. Dependence of  $ZT(dc,D,\eta)$  on reduced Fermi energy  $\eta$  for diameter of physical point contact  $d_c = 1$  nm and particle diameter  $D = 10, 30$  and  $100$  nm.

With the increase of particle diameter  $D$  the maximum of figure of merit increases and move to lower values of Fermi energy.

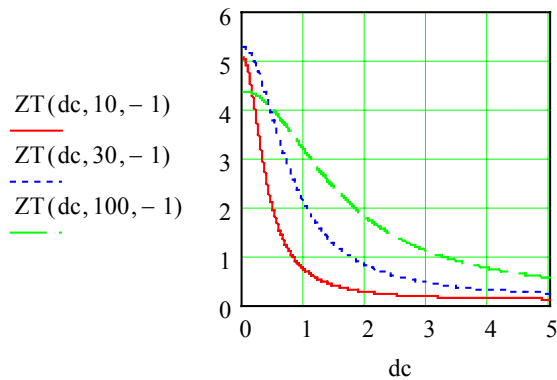


Fig. 3. Dependence of  $ZT(dc,D,\eta)$  on diameter of physical point contact  $d_c$  in nm for reduced Fermi energy  $\eta = 0$  and particle diameter  $D = 10, 30$  and  $100$  nm.

The figure of merit is monotone decreasing function against diameter of point contact  $d_c$ .

Electric conductivity of material increases with the growth of Fermi Energy and point contact diameter, but remains lower the bulk material conductivity by 2-3 order of magnitude.

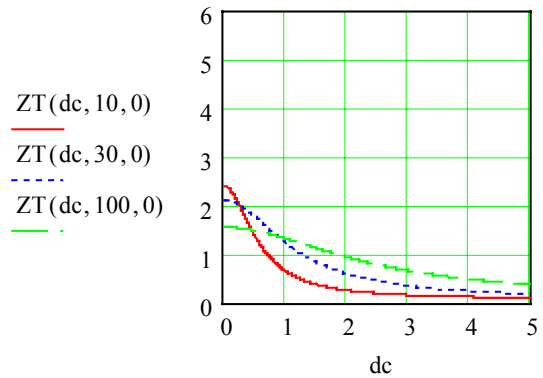


Fig. 4. Dependence of  $ZT(dc,D,\eta)$  on diameter of physical point contact  $d_c$  in nm for reduced Fermi energy  $\eta = 0$  and particle diameter  $D = 10, 30$  and  $100$  nm.

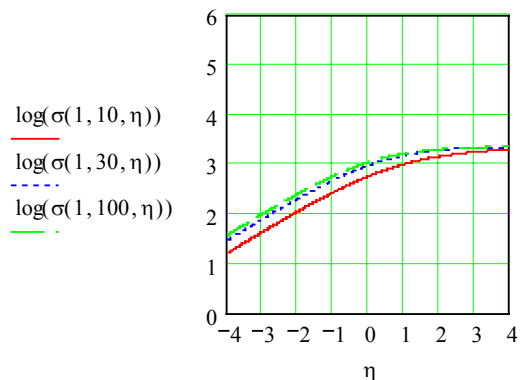


Fig. 5. Dependence of electric conductivity  $\sigma(dc,D,\eta)$  on reduced Fermi energy  $\eta$  for diameter of physical point contact  $d_c = 1$  nm and particle diameter  $D = 10, 30$  and  $100$  nm.

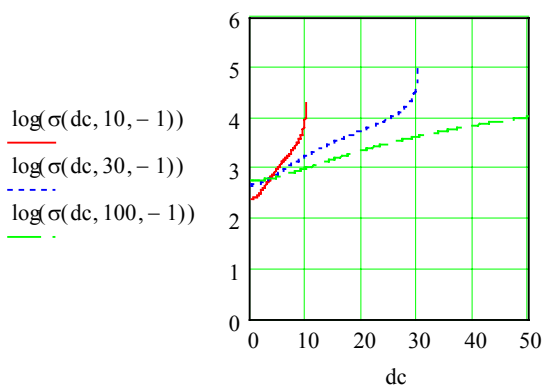


Fig. 5. Dependence of electric conductivity  $\sigma(dc,D,\eta)$  in  $1/\Omega.m$  on diameter of physical point contact  $d_c$  in nm for reduced Fermi energy  $\eta = -1$  and particle diameter  $D = 10, 30$  and  $100$  nm.

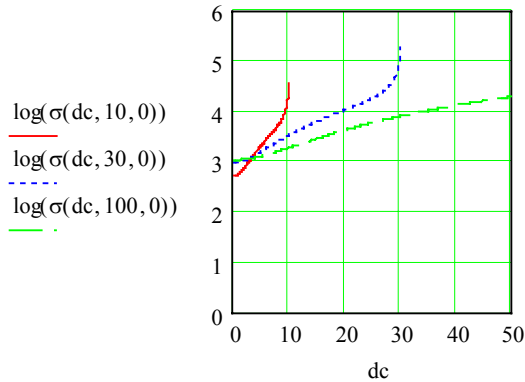


Fig. 6. Dependence of electric conductivity  $\sigma(dc, D, \eta)$  in  $1/\Omega \cdot m$  on diameter of physical point contact  $dc$  in nm for reduced Fermi energy  $\eta = 0$  and particle diameter  $D = 10, 30$  and  $100$  nm.

## Conclusions

In comparison with bulk thermoelectric material the figure of merit  $Z \cdot T$  of material made of nanopowders in definite range of variables is greater by 1.5-5.2 times, but electric conductivity is lower by 2-3 orders of magnitude. High value of  $Z \cdot T$  is connected with the excess of QPC effective diameter over the diameter of physical contact and consequently domination of electron flow over phonon flow.

The figure of merit reaches maximum values in flat structures in which layers of thermoelectric material are separated by thin ( $<0.6-0.7$  nm) vacuum gaps [1]. In such structures the component of phonon conductivity is fully eliminated. From practical point of view creation of such

structures is impossible. The best way of approach to flat structures is the manufacturing of structures with point contacts. Maximum reliability and reproducibility of point contacts can be realized in technology with application of nanopowders.

Proposed model allows optimize characteristics of thermoelectric materials composed of spherical nanoparticles with quantum point contacts in dependence on particle diameter, physical point contact diameter and value of Fermi energy.

## References

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